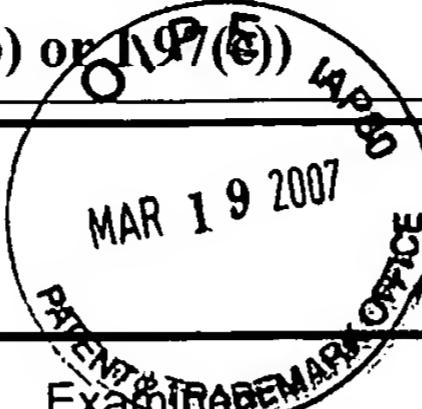


J-FW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
H0004587-0555(16128)

In Re Application Of: Kenneth L. Addy, et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/659,952	September 11, 2003	Charles T. Shedrick	000128	2617	5855

Title: SYNCHRONIZING RF SYSTEM

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

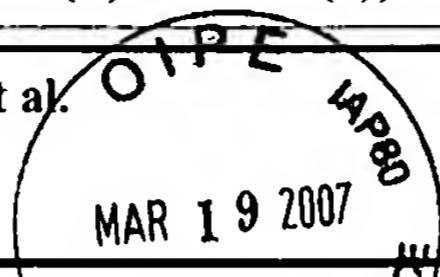
OR

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
H0004587-0555(16128)

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Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/659,952	September 11, 2003	Charles T. Shadrack	000128	2617	5855

Title: SYNCHRONIZING RF SYSTEM

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- Charge the amount of \_\_\_\_\_  
 Credit any overpayment.  
 Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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(Date)

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

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March 16, 2007

(Date)

Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: March 16, 2007

Paul J. Esatto, Jr.

Registration No.: 30,749

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400 Garden City Plaza, Suite 300

Garden City, New York 11530

(516) 742-4343

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Kenneth L. Addy, et al.      **Examiner:** Charles T. Shedrick  
**Serial No:** 10/659,952      **Art Unit:** 2617  
**Filed:** September 11, 2003      **Docket:** H0004587-0555 (16128)  
**For:** SYNCHRONIZING RF SYSTEM      **Dated:** March 16, 2007  
**Conf. No.:** 5855

Mailstop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United Kingdom Patent Application No. GB 2 271 691 A published April 20, 1994.
2. International Patent Publication No. WO 99/46745 A published September 16, 1999.
3. German Laid Open Publication No. DE 198 51 959 A1 published May 25, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mailstop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 16, 2007.

Dated: March 16, 2007



Paul J. Esatto, Jr.

4. European Patent Publication 0 615 364 A1 published September 14, 1994.

The references were cited in a Supplementary European Search Report dated January 29, 2007 received from the European Patent Office. Applicants are submitting copies of the above-cited references, required under 37 C.F.R. 1.98 (a)(2)(i) and (ii), together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no fee is required.

Since a first Office Action on the merits has issued, applicants hereby state, "That each item of information contained herein was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement."

Respectfully submitted,

  
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(516) 742-4343

PJE:HC:me



## **LIST OF REFERENCES CITED BY APPLICANT**

(Use several sheets if necessary)

**Atty. Docket No.**

**Serial No.**  
**10/659,952**

**Applicant**

Kenneth L. Addy, et al.

**Filing Date**

September 11, 2003

## **U.S. PATENT DOCUMENTS**

<b>EXAMINE R INITIAL*</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLAS S</b>	<b>FILING DATE (if appropriate)</b>
	AA						
	AB						
	AC						
	AD						
	AE						

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**


**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.